

On Hausdorff dimension of thin nonlinear solenoids

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Definition

Let M be a C^1 Riemannian manifold, $U \subset M$ a non-empty open subset, $f : U \rightarrow f(U)$ a C^1 diffeomorphism.

A compact f -invariant subset Λ is **hyperbolic set** if there are constants $\lambda \in (0, 1)$, $C > 0$ and families of subspaces $E^{s/u}(x) \subset T_x M$, $x \in \Lambda$, s.t. for every $x \in \Lambda$:

- $T_x M = E^s(x) \oplus E^u(x)$;
- $\|D(f^n)|_{E^s(x)}\| \leq C\lambda^n$ for $n \in \mathbb{N}$;
- $\|D(f^{-n})|_{E^u(x)}\| \leq C\lambda^n$ for $n \in \mathbb{N}$;
- $Df(E^{s/u})(x) = E^{s/u}(f(x))$.

Solenoid: an example of a hyperbolic set

Let $M := S^1 \times \mathbb{D}$ be the solid torus, where $\mathbb{D} = \{v \in \mathbb{R}^2 \mid |v| < 1\}$ carries the product distance $d = d_1 \times d_2$ and suppose $f : M \rightarrow M$ such that

$$(x, y, z) \mapsto (\eta(x, y, z) \pmod{2\pi}, \lambda(x, y, z) + u(x), \nu(x, y, z) + v(x))$$

is a smooth embedding map, where $\lambda(x, 0, 0) = \nu(x, 0, 0) = 0$ and the component functions $\eta, \lambda, \nu : M \rightarrow \mathbb{R}$ satisfy the following assumptions :

- 1- $\eta'(x, y, z) := \frac{\partial}{\partial x} \eta(x, y, z) > 1$.
- 2- $\lambda'(x, y, z) := \frac{\partial}{\partial y} \lambda(x, y, z) < 1$.
- 3- $\nu'(x, y, z) := \frac{\partial}{\partial z} \nu(x, y, z) < \lambda'(x, y, z) < 1$.

$\Lambda := \bigcap_{n \in \mathbb{N}} f^n(M)$ is hyperbolic attractor. We define the natural projection $\pi : (x, y, z) \mapsto x$. For any set $\mathcal{D} \subset M$, let $p \in \mathcal{D}_x := (\pi|_{\mathcal{D}})^{-1}(x)$. Thus, $\Lambda_x := W_{\mathcal{D}_x}^s(p) \cap \Lambda$. That is called *Stable slice*.

Solenoid: an example of a hyperbolic set

Let $x \mapsto 2x \pmod{2\pi}$ on S^1 .

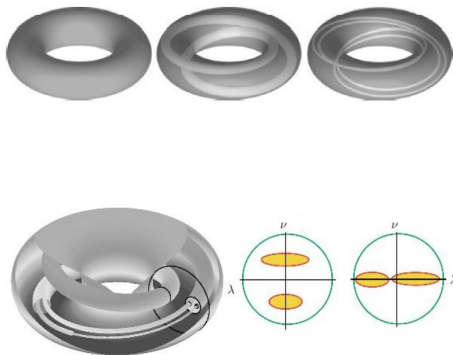


Figure: Λ_x

$\Lambda := \bigcap_{n \in \mathbb{N}} f^n(M)$ is hyperbolic attractor. Λ_x is Stable slice..

Conjecture. The fractal dimension of a hyperbolic set is (at least generically or under mild hypotheses) the sum of those of its stable and unstable slices, where fractal can mean either Hausdorff or upper box dimension.

- ① Bothe (1993) : If $f : M \rightarrow M$ is smooth embedding such that

$$(x, y, z) \mapsto (\eta(x) \pmod{2\pi}, \lambda_1(x)y + u(x), \lambda_2(x)z + v(x))$$

with $\eta'(x) = \frac{\partial}{\partial x}\eta(x) > 1$, $0 < \lambda_i < 1$ and $\sup \lambda_i < d^{-2}$ (d the mapping degree of η). Moreover, its unstable foliation satisfy transversality. Then, $\dim_H(\Lambda) = 1 + \dim_H(\Lambda_x)$.

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Previous results

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- ② Barriera, Pesin and Schmeling(1999): They established a dimension product structure of invariant measures in the course of proving the Eckmann Ruelle conjecture
- ③ Hasselblat and Schmeling (2004): If $f : M \rightarrow M$ is C^2 map such that

$$(x, y, z) \mapsto (2x \pmod{2\pi}, \lambda_1 y + u(x), \lambda_2 z + v(x))$$

with $0 < \lambda_2 < \lambda_1 < 1$ and $2\lambda_1 < 1$. Moreover, its unstable foliation satisfy transversality . Then, $\dim_H(\Lambda) = 1 + \frac{\log 2}{\log \lambda_1}$.

Theorem

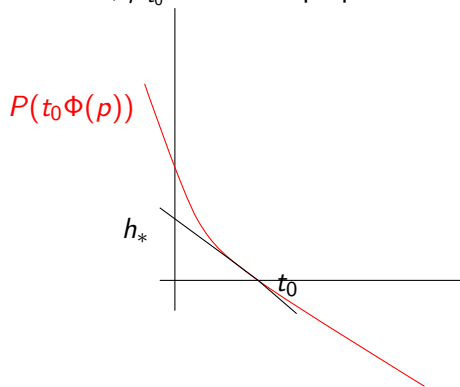
Consider a $C^{1+\epsilon}$ map $f : M \rightarrow M$ as the example, and assume that η' is constant as well as

- 1- $\sup \lambda'(p) < 1/d$ (d is degree) for $p \in \Lambda$,
- 2- The unstable lines of the $\pi(\Lambda)$ intersect each other transversal.

Then $\dim_H(\Lambda) = 1 + \dim_H(\Lambda_x)$ for every $x \in S^1$.

Geometric measure

Let $P = P_{f^{-1}}$ be topological pressure for the transformation f^{-1} and let $\phi(p) = t \log \lambda(p)$ be a potential. Choose t_0 so that $P(t_0\phi) = 0$. Bowen(1975) shows that there is a unique equilibrium state μ_{t_0} for $t_0\phi$. Moreover, μ_{t_0} has Gibbs properties.



Theorem

Assume that η' is not constant. The previous theorem holds for regular points if instead of $\sup \lambda'(p) < 1/d$ we assume

$$\chi_{\mu_{t_0}}(\lambda) := \int \log \lambda' d\mu_{t_0} < \chi_{\mu_{t_0}}(-\eta) := \int -\log \eta' d\mu_{t_0} .$$

Danke!

