

# Optimal balance for geophysical flows and spontaneous wave emission

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# The rotating shallow water model

## Primitive variables:

Velocity and height fields:  $(\mathbf{u}, h)$ .

## Non-dimensional model:

$$\begin{aligned}\varepsilon (\partial_t \mathbf{u} + \mathbf{u} \cdot \nabla \mathbf{u}) + \mathbf{u}^\perp + \nabla h &= 0, \\ \partial_t h + \nabla \cdot (h \mathbf{u}) + h_0 \nabla \cdot \mathbf{u} &= 0,\end{aligned}$$

$$h_0 = \frac{H_0}{H}, \quad T = \frac{L}{U}, \quad \varepsilon = \frac{U}{fL}.$$

## Geostrophic balance:

$$\varepsilon \ll 1, \quad \mathbf{u}_G = \nabla^\perp h.$$

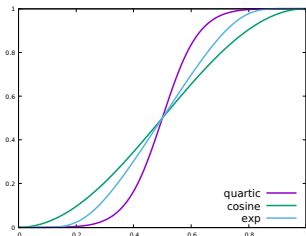
## Semi-geostrophic scaling:

$$h = O(1), \quad t = O(1/\varepsilon).$$

# Optimal balance

## Underlying idea:

- Build a "slow manifold",  $(\delta, \gamma) = F(q)$ ,
- slow deformation to the linear shallow water equations,
- ramp function  $\rho : [0, 1] \rightarrow [0, 1]$ .



**Optimal balance model:** The boundary value problem

$$\begin{aligned}\varepsilon(\partial_t \mathbf{u} + \rho(t/T) \mathbf{u} \cdot \nabla \mathbf{u}) + \mathbf{u}^\perp + \nabla h &= 0, \\ \partial_t h + \rho(t/T) \nabla \cdot (h \mathbf{u}) + h_0 \nabla \cdot \mathbf{u} &= 0,\end{aligned}$$

with linear-end and nonlinear-end boundary conditions,

$$\mathbb{P}_G(\hat{\mathbf{u}}, \hat{h}) = 0, \quad q(T) = q.$$

## Linear-end boundary condition

**Task:** Build projection matrices to decompose  $(\mathbf{u}, h)$ .

**Linear model:** At  $t = 0$ ,

$$\varepsilon \partial_t \mathbf{u} + \mathbf{u}^\perp + \nabla h = 0,$$

$$\partial_t h + h_0 \nabla \cdot \mathbf{u} = 0,$$

- for spectral representation  $\hat{\mathbf{z}} = (\hat{u}, \hat{v}, \hat{h})$ :

$$\frac{\partial}{\partial t} \hat{\mathbf{z}} = iA \hat{\mathbf{z}}, \quad A = \begin{bmatrix} 0 & -i/\varepsilon & -k/\varepsilon \\ i/\varepsilon & 0 & -l/\varepsilon \\ -h_0 k & -h_0 l & 0 \end{bmatrix},$$

- Rossby (geostrophic) mode:  $w_0 = 0$ , and  
gravity-wave (ageostrophic) modes:  $w_{1,2}^2 = (\varepsilon h_0 (k + l)^2 + 1) / \varepsilon^2$ ,
- define projections  $\mathbb{P}_R$  and  $\mathbb{P}_G = (I - \mathbb{P}_R)$ :

$$\hat{\mathbf{z}} = \mathbb{P}_G \hat{\mathbf{z}} + \mathbb{P}_R \hat{\mathbf{z}}.$$

## Primitive and geostrophic-ageostrophic variables

**Task:**  $(\mathbf{u}, h) \Rightarrow (q, \delta, \gamma)$

**Geostrophic variable:**

$$q = \frac{\varepsilon \nabla^\perp \cdot \mathbf{u} + 1}{h_0 + h}$$

**Ageostrophic variables:**

$$\delta = \nabla \cdot \mathbf{u}$$

$$\gamma = \nabla^\perp \cdot \mathbf{u} - \Delta h$$

**Task:**  $(q, \delta, \gamma) \Rightarrow (\mathbf{u}, h)$

**PV inversion equations:**

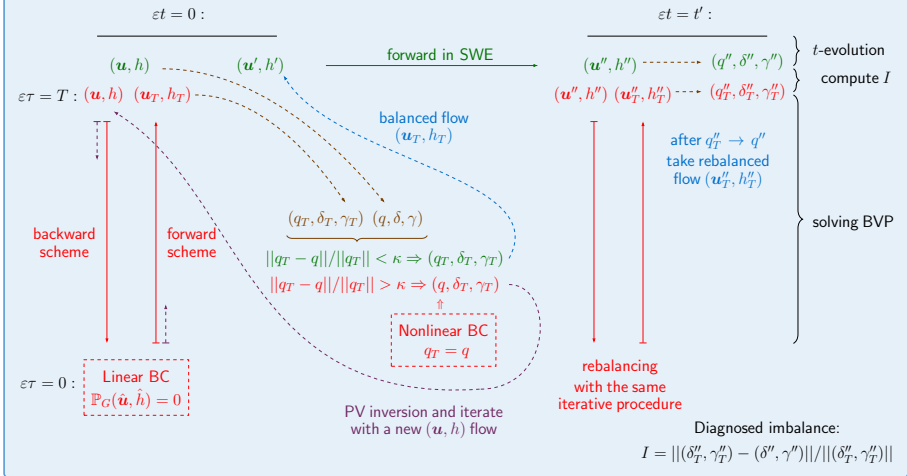
$$(-q + \varepsilon \Delta)h = -\varepsilon \gamma + qh_0 - 1,$$

$$\mathbf{u} = \nabla^\perp \psi + \nabla \phi + \bar{\mathbf{u}},$$

$$\Delta \psi = \zeta, \quad \Delta \phi = \delta.$$

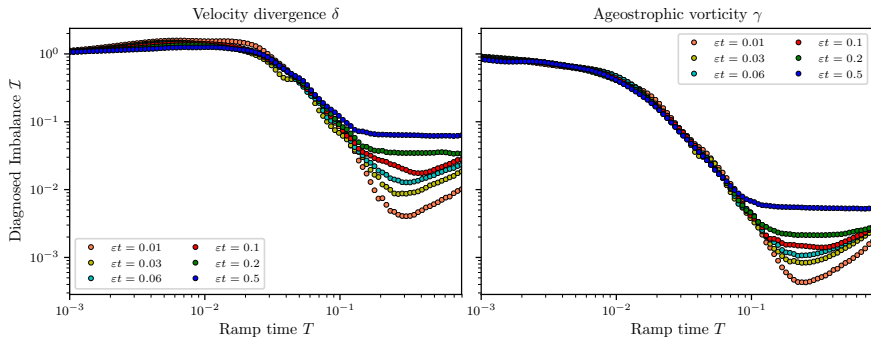
# Optimal balance algorithm

Schematic of optimal balance to the rotating SWE

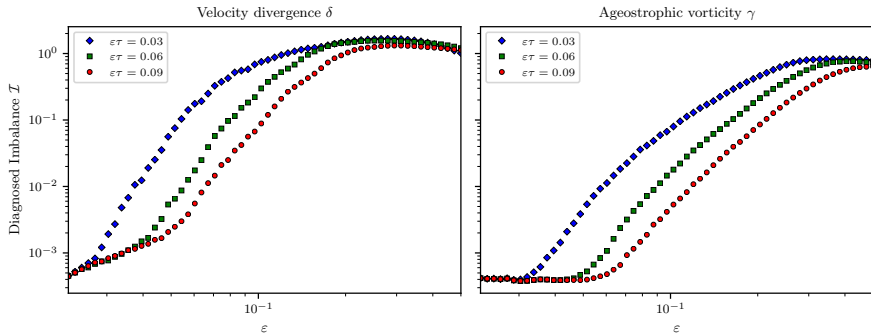


# Diagnosed imbalances $I(T)$ for $\varepsilon = 0.1$

$$\varepsilon = 2^{-m/2}, m = 2, \dots, 11$$

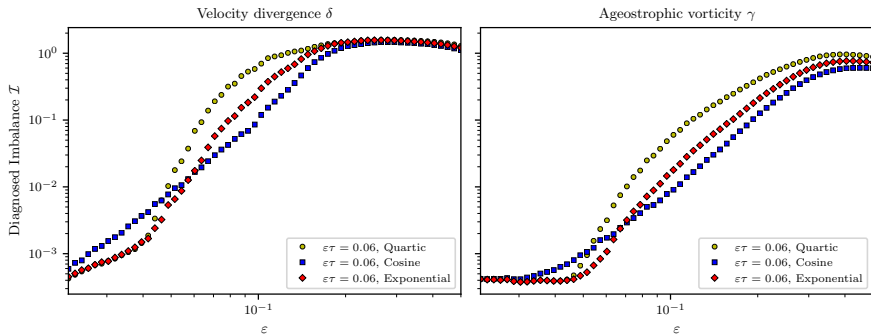


# Diagnosed imbalances $I(\varepsilon)$ , $\varepsilon\tau = 0.03$





# $I(\varepsilon)$ for different ramp functions $\rho$ , $\varepsilon t = 0.06$



## Conclusion

- Longer ramp time  $T$  up to optimal value provides smaller  $I$ .
- The method works with balance state  $q$  and oblique projection at optimal computational effort.
- Linear BCs (oblique and orthogonal projection) have an impact on the process but not on the solution that the algorithm converges.
- Cosine ramp function excites smaller imbalances for middle-range  $\varepsilon$  values.